SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person' (Check all applicable) FIRST BUSEY CORP /NV/ [BUSE] DUKEMAN VAN A Х Director 10% Owner Officer (give title Other (specify X 3. Date of Earliest Transaction (Month/Day/Year) (Middle) below) below) (Last) (First) 03/31/2020 President & CEO 100 WEST UNIVERSITY AVENUE 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable (Street) Line) CHAMPAIGN IL 61820 Х Form filed by One Reporting Person Form filed by More than One Reporting Person (City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	Date Execution Date, Trans		Transa Code (4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	05/01/2020		A		1,435(1)	A	\$ <mark>0</mark>	185,303	D	
Common Stock	03/31/2020		Р		973 ⁽²⁾	A	\$0	8,358	Ι	Employee Stock Purchase Plan
Common Stock								21,909	I	Van A. Dukeman, IRA'S
Common Stock								11,453	I	401(k) & Profit Sharing Plan
Common Stock								236	Ι	ESOP Plan
Common Stock								2,201	I	Spouse/IRA
Common Stock								68,204	I	Joint Custody Account

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 3A. Deemed 1. Title of 5. Number 6. Date Exercisable and 7. Title and 9. Number of 11. Nature 2 3. Transaction 8. Price of 10. Derivative Conversion Date Execution Date Transaction of Expiration Date Amount of Derivative derivative Ownership of Indirect Security or Exercise (Month/Dav/Year) if any Code (Instr. Derivative (Month/Day/Year) Securities Security Securities Form: Beneficial (Instr. 3) 8) Beneficially Direct (D) Price of (Month/Day/Year) Securities Underlying (Instr. 5) Ownership Derivative Acquired Derivative Owned or Indirect (I) (Instr. 4) (Instr. 4) (A) or Disposed Security (Instr. 3 and 4) Security Following Reported of (D) (Instr. 3, 4 Transaction(s) (Instr. 4) and 5) Amount or Numbe

Explanation of Responses:

1. Represents dividend equivalent rights accrued on Restricted Stock Units in conjunction with the payment of a cash dividend on First Busey Corporation Stock. Each dividend equivalent right is the economic equivalent of one share of First Busey Corporation Stock.

(A) (D)

2. Reflects the purchase of 909 shares and 64 accumulated dividend reinvestment shares through the Company Employee Stock Purchase Plan since the Reporting Person's most recent ownership report.

Date

Exercisable

/s/ Mary Lakey, attorney-in-
fact

Title

of

Shares

Expiration

Date

** Signature of Reporting Person Date

05/04/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code v

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.