SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			()								
1. Name and Addres	ss of Reporting Person <sup>*</sup> VAN A	2. Issuer Name <b>and</b> T FIRST BUSEY					5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) 100 WEST UNI	(First) (M VERSITY AVENUE	ddle)	3. Date of Earliest Tra 12/31/2020	nsactior	n (Mon	th/Day/Year)		X Officer (give t below)	title Of	her (specify low)	
(Street) CHAMPAIGN	IL 61	820	4. If Amendment, Date	e of Orig	inal Fi	iled (Month/Da		<ol> <li>Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> </ol>			
(City)	(State) (Z	0)						Form filed by Person	More than One	Reporting	
	Table	- Non-Derivat	ive Securities A	cquire	d, D	isposed o	f, or B	eneficia	ally Owned		
1. Title of Security (Instr. 3) 2. Transactic Date (Month/Day/			Execution Date,	3. Transa Code ( 8) Code					5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)

		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
01/29/2021		A		1,616 <sup>(1)</sup>	A	\$ <mark>0</mark>	214,958	D	
12/31/2020		A		105 <sup>(2)</sup>	A	\$0	8,678	I	Employee Stock Purchase Plan
12/31/2020		A		463 <sup>(3)</sup>	A	\$0	11,916	Ι	401(k) & Profit Sharing Plan
							<b>0</b> <sup>(4)</sup>	I	ESOP Plan
							21,909	I	Van A. Dukeman, IRA'S
							2,201	I	Spouse/IRA
							79,396	Ι	Joint Custody Account
	12/31/2020	12/31/2020	Image: organization of the state of the	Image: output diagram     Image: output diagram       01/29/2021     A       12/31/2020     A       Image: output diagram     Image: output diagram       Image: output diagram     Image: output diagram	Image: Non-State         Image: Non-State<	01/29/2021       A       I,616 <sup>(1)</sup> A         12/31/2020       A       A       I       I05 <sup>(2)</sup> A         I       I       I       I       I       I       I         I       I       I       I       I       I       I	01/29/2021       A       A       1,616 <sup>(1)</sup> A       \$0         12/31/2020       A       A       Image: Constraint of the second secon	$01/29/2021$ AA1,616(1)A\$0214,958 $12/31/2020$ AAI $105^{(2)}$ A\$08,678 $12/31/2020$ AAI $463^{(3)}$ A\$011,916 $12/31/2020$ AIIIIIIII $12/31/2020$ IIIIIIIIIIII $12/31/2020$ IIIIIIIIIIII $12/31/2020$ IIIIIIIIIIIIIIII $12/31/2020$ IIIIIIIIIIIIIIII $12/31/2020$ IIIIIIIIIIIIIIIIII $12/31/2020$ IIIIIIIIIIIIIIIIII $12/31/2020$ IIIIIIIIIIIIIIIIII $12/31/2020$ IIIIIIIIIIIIIIIIII $12/31/2020$ IIIIIIIIIIIIIIIIII $12/31/2020IIIIIIIIIIIIIIIIII12/31/2020IIIIIIIIIIIIIIIIIIIIII12/31/2020IIIIIIIIIIIIIIIIIIIIIII12/31/2020IIIIIIIIIIIIIIIIIIIIIIIIIIII12/31/2020IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII$	01/29/2021AA1,616(1)A\$0214,958D12/31/2020AA $105^{(2)}$ A\$0 $8,678$ I12/31/2020AA $105^{(2)}$ A\$0 $11,916$ I12/31/2020AA $463^{(3)}$ A\$011,916I12/31/2020AIIIIII12/31/2020AIIIII12/31/2020IIIIII12/31/2020II </td

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents dividend equivalent rights accrued on Restricted Stock Units in conjunction with the payment of a cash dividend on First Busey Corporation Stock. Each dividend equivalent is the economic equivalent of one share of First Busey Corporation Stock.

2. Reflects 105 accumulated dividend reinvestment shares through the Company Employee Stock Ownership Plan since the Reporting Person's most recent ownership report.

3. Reflects allocations, contributions and dispositions that have occurred since the Reporting Person's most recent ownership report.

4. Reflects the disposition of 236 shares previously held in First Busey Corporation's Employee Stock Ownership Plan, which has been terminated, since the Reporting Person's most recent ownership report.

## <u>/s/ Mary Lakey, attorney-in-</u>

fact

<u>02/02/2021</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.